

Annex 10A

(informative)

Example ONU authentication credentials

1.1 Introduction

As described in [11.2.2.1](#), the ONU has a built-in credential (the *Device Authentication Credential* or DAC) and can additionally be configured with an operator-provided credential (the *Network Authentication Credential* or NAC).

This annex provides example X.509 certificate credentials for each credential type along with some description of the required and optional elements. This content is informational only.

1.1.1 Software packages, versions, and environment

The examples presented in this annex rely on the following software packages:

- Python programming language, version 3.12.3, 64-bit (AMD64) (see <https://www.python.org/>)
- Python cryptographic library (module) ‘*cryptography*’, version 42.0.8 (see <https://pypi.org/project/cryptography/>)
- OpenSSL library, version 3.3.1 (see <https://openssl.org/>)
- Execution environment: Windows 10, 64 bit

The above software packages were used for illustrative purposes only. There is no requirement to rely on these software packages for any SIEPON-4 development or production workflows.

1.2 Device Authentication Credential (DAC)

1.2.1 Device Authentication Keypair (DAK)

The DAK requirements are specified in [11.2.2.1.1](#). The DAK may be generated as part of the DAC certificate generation, for example, by using the following code:

```
from cryptography.hazmat.backends import default_backend
from cryptography.hazmat.primitives import serialization
from cryptography.hazmat.primitives.asymmetric import ec

onu_private_key = ec.generate_private_key(ec.SECP384R1(), default_backend())
onu_public_key = onu_private_key.public_key()

onu_private_key_pem = onu_private_key.private_bytes(
    encoding=serialization.Encoding.PEM,
    format=serialization.PrivateFormat.PKCS8,
    encryption_algorithm=serialization.NoEncryption())

onu_public_key_pem = onu_public_key.public_bytes(
    encoding=serialization.Encoding.PEM,
    format=serialization.PublicFormat.SubjectPublicKeyInfo)
```

The above code produces a new unique private/public keypair on every execution. However, to achieve repeatability of generated DAC certificate values, the examples discussed in this annex rely on the following static key values generated by the above code:

```

onu_private_key_pem = """
-----BEGIN PRIVATE KEY-----
MIG2AgEAMBAGByqGSM49AgEGBSuBBAAiBIGeMIGbAgEBBDCw5yv+U9KtYCYUPUUJ
plNJe/L9/81XJljDYfnZV9i/o5R+0CKiYvhffSXqR21cXrehZANiAARgA9offJKP
2SqqC/6iY/WyOFU9X/wKuXxhweUwzDSD5GU3TqXEx3Wx72Xi4TPF4ofQb0WEESH1
NMQthEA119EFxaURBjaNgKnSgE4vvZXP3A31IfZ9mgZeqoe4WjIEq/g=
-----END PRIVATE KEY-----
"""

onu_public_key_pem = """
-----BEGIN PUBLIC KEY-----
MHYwEAYHKoZIzj0CAQYFK4EEACIDYgAEYAPaH3ySj9kqggv+omP1sjhVPV/8Cr18
YcHlMMw0g+RlN06lxMdlse914uEzxeKH0G9FhBEh9TTELYRANZfRBCw1eQY2jYcP
0oBOL72Vz9wN5SH2fZoGXqgHuFoyBKv4
-----END PUBLIC KEY-----
"""

```

1.2.2 DAC certificate generation

A DAC is an X.509 certificate. There is no requirement for the DAC to be generated by the ONU itself. The DAC may be created by the ONU manufacturer and is loaded into the ONU trust store as a PEM-encoded file. The following code illustrates a method to generate a DAC compliant with the requirements of **11.2.2.1.3**:

```

from cryptography import x509
from cryptography.x509.oid import ObjectIdentifier
from cryptography.hazmat.backends import default_backend
from cryptography.hazmat.primitives import hashes, serialization
from datetime import datetime

#####

def create_dac_cert(onu_id,
                   private_key_pem,
                   public_key_pem,
                   cert_serial_num,
                   country,
                   manufacturer_name,
                   model_name,
                   not_valid_before,
                   not_valid_after):

    private_key = serialization.load_pem_private_key(private_key_pem.encode(),
                                                    password = None,
                                                    backend = default_backend())

    public_key = serialization.load_pem_public_key(public_key_pem.encode())

    subject_name = x509.Name([
        x509.NameAttribute(x509.NameOID.COUNTRY_NAME, country),
        x509.NameAttribute(x509.NameOID.ORGANIZATION_NAME, manufacturer_name),
        x509.NameAttribute(x509.NameOID.ORGANIZATIONAL_UNIT_NAME, model_name),
        x509.NameAttribute(x509.NameOID.COMMON_NAME, f"SIEPON4_ONU_{onu_id}")])

    bc_extension = x509.BasicConstraints(ca=False, path_length=None)

    ski_extension = x509.SubjectKeyIdentifier.from_public_key(public_key)

    aki_extension = x509.AuthorityKeyIdentifier.from_issuer_subject_key_identifier(
        x509.SubjectKeyIdentifier.from_public_key(public_key))

    # Create the SIEPON4 Credential Type extension field.
    # Credential type value 1 identifies the DAC (see 11.3.3.2)

```

```

siepon4_cred_type_oid = ObjectIdentifier("1.3.111.2.1904.4.1.1")
siepon4_cred_type_extension = x509.UnrecognizedExtension(siepon4_cred_type_oid,
                                                         value=b'\x01')

dac_certificate = (
    x509.CertificateBuilder()
        .subject_name(subject_name)
        .issuer_name(subject_name)
        .public_key(public_key)
        .serial_number(cert_serial_num)
        .not_valid_before(not_valid_before)
        .not_valid_after(not_valid_after)
        .add_extension(bc_extension, critical=True)
        .add_extension(ski_extension, critical=False)
        .add_extension(aki_extension, critical=False)
        .add_extension(siepon4_cred_type_extension, critical=False)
        .sign(private_key, algorithm=hashes.SHA256())
)
return dac_certificate

```

1.2.3 Example DAC certificate

The following code illustrates DAC generation with a given set of example parameters values, including an example PEM-encoded value of the ONU's private/public keypair shown in [1.2.1](#). The ONU ID is assumed to equal 0x58-D0-8F-12-34-56. The DAC is then PEM-encoded and stored in a file named "ONU_58D08F123456.dac.cert.pem".

```

example_dac_certificate = create_dac_cert(
    onu_id = "58D08F123456",
    private_key_pem = onu_private_key_pem,
    public_key_pem = onu_public_key_pem,
    cert_serial_num = 159405573470659635927626028419554851843497597566,
    country = "US",
    manufacturer_name = "ACME Devices",
    model_name = "SuperONU 5000",
    not_valid_before = datetime.fromisoformat("2024-04-29 02:11:20+00:00"),
    not_valid_after = datetime.fromisoformat("2064-04-29 02:11:20+00:00")
)

with open("ONU_58D08F123456.dac.cert.pem", "wb") as file:
    file.write(example_dac_certificate.public_bytes(serialization.Encoding.PEM))

```

Executing the above code produces the following PEM-encoded X.509 certificate:

```

-----BEGIN CERTIFICATE-----
MIICXTCCAeSgAwIBAgIUg+v+PcLIS47ibUs8BuX0ybbRGn4wCgYIKoZIzj0EAwIw
XzELMAkGA1UEBhMCVVMxFTATBgNVBAoMDEFDTUUGRGV2aWNlczEWMBQGA1UECwwN
U3VwZXZJPTlUgNTAwMDEhMB8GA1UEAwYU01FUE9ONF9PTlVfNThEMDhGMTIzNDU2
MCAXDTI0MDQyOTAyMTEyMFOyDzIwNjQwNDI5MDIxMTIwWjBFMQswCQYDVQQGEwJV
UzEVMBMGA1UECgwmQUJNRSRBEZlZpY2VzMRwwFAYDVQQQLDA1TdxBlck90VSA1MDAw
MSEwHwYDVQDBBhTSUVQT040X090VV81OEQwOEYxMjM0NTYwdjAQBgcqhkjOPQIB
BgUrgQQAIgNiAARgA9offJKP2SqqC/6iY/WyOFU9X/wKuXxhweUwzDSD5GU3TqXE
x3Wx72Xi4TPF4ofQb0WEESH1NMQtHEA119EFxaURBjaNgKnSgE4vvZXP3A3lIfZ9
mgZeQoe4WjIEq/ijXzBdMAwGA1UdEwEB/wQCAAAwHQYDVR0OBBYEFM4cvYPRsUY3
8oj0o3YZTFu6LwvPMB8GA1UdIwQYMBaAFM4cvYPRsUY38oj0o3YZTFu6LwvPMAOG
CCtvAo5wBAEBBAEBMAoGCCqGSM49BAMCA2cAMGQCMEgRBfgGcNvhWGEgJQmhD8Q+
mTKs+y5izA40iLqfookz8ZTQMS6GgE27hZJWQ45HAIwSh9iP0gAJFvxvAuAuWIIA
8IL9rI5zatIlx6bifXYzqO3XacmN+jDXEuiXgDO2Yks9
-----END CERTIFICATE-----

```

NOTE—The certificate data generated from this example code may differ from the above PEM-encoded certificate in two areas (shown on a darker background):

- For the ECDSA signature field, the ASN.1 encoding of *r* and *s* values requires prepending of a 0x00 octet if the first bit of *r* and/or *s* is 1. Thus, the total length of this example certificate may

vary between 609 and 611 octets. In PEM-encoded certificate, this variability results in 6th base64 digit taking one of three possible values: “T”, “j”, or “z”.

- The signature value (the last 139-142 base64 digits of the PEM-encoded certificate) does not match the PEM example since ECDSA signatures are seeded with a *nonce* – a one-time random value that makes every signature unique.

1.2.4 Parsed example X.509 DAC

Parsing of the generated DAC can be performed using the following command:

```
openssl x509 -in ONU_58D08F123456.dac.cert.pem -noout -text
```

which produces the example output below:

```
Certificate:
  Data:
    Version: 3 (0x2)
    Serial Number:
      1b:eb:fe:3d:c2:c8:4b:8e:e2:6d:4b:3c:06:e5:f4:c9:b6:d1:1a:7e
    Signature Algorithm: ecdsa-with-SHA256
    Issuer: C=US, O=ACME Devices, OU=SuperONU 5000, CN=SIEPON4_ONU_58D08F123456
    Validity
      Not Before: Apr 29 02:11:20 2024 GMT
      Not After : Apr 29 02:11:20 2064 GMT
    Subject: C=US, O=ACME Devices, OU=SuperONU 5000, CN=SIEPON4_ONU_58D08F123456
    Subject Public Key Info:
      Public Key Algorithm: id-ecPublicKey
      Public-Key: (384 bit)
      pub:
        04:60:03:da:1f:7c:92:8f:d9:2a:aa:0b:fe:a2:63:
        f5:b2:38:55:3d:5f:fc:0a:b9:7c:61:c1:e5:30:cc:
        34:83:e4:65:37:4e:a5:c4:c7:75:b1:ef:65:e2:e1:
        33:c5:e2:87:d0:6f:45:84:11:21:f5:34:c4:2d:84:
        40:35:97:d1:05:c5:a5:11:06:36:8d:80:a9:d2:80:
        4e:2f:bd:95:cf:dc:0d:e5:21:f6:7d:9a:06:5e:aa:
        87:b8:5a:32:04:ab:f8
      ASN1 OID: secp384r1
      NIST CURVE: P-384
    X509v3 extensions:
      X509v3 Basic Constraints: critical
      CA:FALSE
      X509v3 Subject Key Identifier:
      CE:1C:BD:83:D1:B1:46:37:F2:88:F4:A3:76:19:4C:5B:BA:2F:0B:CF
      X509v3 Authority Key Identifier:
      CE:1C:BD:83:D1:B1:46:37:F2:88:F4:A3:76:19:4C:5B:BA:2F:0B:CF
      1.3.111.2.1904.4.1.1:
      .
    Signature Algorithm: ecdsa-with-SHA256
    Signature Value:
      30:64:02:30:48:11:05:f8:06:70:db:e1:58:61:06:8d:09:a1:
      0f:c4:3e:99:32:ac:fb:2e:62:cc:0e:34:88:ba:9f:a2:89:33:
      33:c6:53:40:c4:ba:1a:01:36:ee:16:49:59:0e:39:1c:02:30:
      4a:1f:62:3f:48:00:24:5c:6f:02:e0:2e:58:88:80:f0:82:fd:
      ac:8e:73:6a:d2:25:c7:a6:e2:7d:76:33:a8:ed:d7:69:c9:8d:
      fa:30:d7:12:e8:97:80:33:b6:62:4b:3d
```

Note that OID 1.3.111.2.1904.4.1.1 represents the *SIEPON4CredentialType* defined in [11.3.3.2](#) and the “.” represents the binary encoding of the type. In this case, the value is 0x01, indicating that this certificate is a DAC.

1.3 Network Authentication Credential (NAC)

The Network Authentication Credential (NAC) is an X.509 end-entity certificate containing the ONU's public key, operator-defined metadata, and a cryptographic signature used to verify the authenticity of the NAC (see [11.2.2.1.4](#)).

The example presented in this subclause illustrates a X.509 certificate chain credential consisting of a sample operator-created NAC and a sample operator's Certificate Authority (CA) certificate. The NAC contains the same fields as the DAC, with the addition of a subscriber ID encoded into the X.509 *SubjectAlternativeName* (SAN) extension. (The operators may use other extensions to carry the subscriber ID or not include it in the NAC at all.)

Note that the creation of the NAC only requires access to the ONU's public key and metadata – all contained in the DAC. The ONU's private key is not required, since the NAC is signed by the network operator's Certificate Authority (CA).

1.3.1 NAC generation

The following code illustrates methods to generate a CA and a NAC certificates compliant with the requirements of [11.2.2.1.4](#), although it is noted that the generation of these certificates is not the responsibility of the OLT or the ONU devices.

```
from cryptography import x509
from cryptography.x509.oid import ObjectIdentifier, NameOID
from cryptography.hazmat.backends import default_backend
from cryptography.hazmat.primitives import serialization
from cryptography.hazmat.primitives.asymmetric import ec
from cryptography.hazmat.primitives import hashes
from datetime import datetime

#####

def create_ca_cert(org_name,
                  common_name,
                  cert_serial_num,
                  ca_private_key_pem,
                  not_valid_before,
                  not_valid_after):

    ca_private_key = serialization.load_pem_private_key(ca_private_key_pem.encode(),
                                                       password=None,
                                                       backend=default_backend())

    ca_public_key = ca_private_key.public_key()

    ca_name = x509.Name([x509.NameAttribute(NameOID.ORGANIZATION_NAME, org_name),
                       x509.NameAttribute(NameOID.COMMON_NAME, common_name)])

    bc_extension = x509.BasicConstraints(ca=True, path_length=None)
    ski_extension = x509.SubjectKeyIdentifier.from_public_key(ca_public_key)

    ca_certificate = (
        x509.CertificateBuilder()
        .subject_name(ca_name)
        .issuer_name(ca_name)
        .public_key(ca_private_key.public_key())
        .serial_number(cert_serial_num)
        .not_valid_before(not_valid_before)
        .not_valid_after(not_valid_after)
        .add_extension(bc_extension, critical=True)
        .add_extension(ski_extension, critical=False)
        .sign(ca_private_key, hashes.SHA256(), default_backend())
    )

    return ca_certificate
```

```
#####
def create_nac_cert(onu_id,
                   country,
                   issuer_name,
                   manufacturer_name,
                   model_name,
                   subscriber_id,
                   onu_public_key_pem,
                   cert_serial_num,
                   ca_private_key_pem,
                   not_valid_before,
                   not_valid_after):

    onu_public_key = serialization.load_pem_public_key(onu_public_key_pem.encode())
    ca_private_key = serialization.load_pem_private_key(ca_private_key_pem.encode(),
                                                       password=None,
                                                       backend=default_backend())

    subject_name = x509.Name([
        x509.NameAttribute(NameOID.COUNTRY_NAME, country),
        x509.NameAttribute(NameOID.ORGANIZATION_NAME, manufacturer_name),
        x509.NameAttribute(NameOID.ORGANIZATIONAL_UNIT_NAME, model_name),
        x509.NameAttribute(NameOID.COMMON_NAME, f"ONU_{onu_id}")])

    bc_extension = x509.BasicConstraints(ca=False, path_length=None)

    ski_extension = x509.SubjectKeyIdentifier.from_public_key(onu_public_key)

    aki_extension = x509.AuthorityKeyIdentifier.from_issuer_subject_key_identifier(
        x509.SubjectKeyIdentifier.from_public_key(ca_private_key.public_key()))

    san_extension = x509.SubjectAlternativeName([
        x509.UniformResourceIdentifier(f"urn:subscriber-id:{subscriber_id}")])

    # Create the SIEPON4 Credential Type extension field.
    # Credential type value 2 identifies the NAC (see 11.3.3.2)
    siepon4_cred_type_oid = ObjectIdentifier("1.3.111.2.1904.4.1.1")
    siepon4_cred_type_extension = x509.UnrecognizedExtension(siepon4_cred_type_oid,
                                                            value=b'\x02')

    nac_certificate = (
        x509.CertificateBuilder()
        .subject_name(subject_name)
        .issuer_name(issuer_name)
        .public_key(onu_public_key)
        .serial_number(cert_serial_num)
        .not_valid_before(not_valid_before)
        .not_valid_after(not_valid_after)
        .add_extension(bc_extension, critical=True)
        .add_extension(ski_extension, critical=False)
        .add_extension(aki_extension, critical=False)
        .add_extension(san_extension, critical=False)
        .add_extension(siepon4_cred_type_extension, critical=False)
        .sign(ca_private_key, hashes.SHA256(), default_backend())
    )
    return nac_certificate

```

1.3.2 Example NAC and intermediate certificates

The following code illustrates generation of an NAC and an intermediate certificate with a given set of example parameters values, including an example PEM-encoded value of the intermediate certificate private key and ONU's public key. The value of ONU's public key is assumed to have been extracted from ONU's DAC and its value is equal to `onu_public_key_pem` shown in [1.2.1](#). The NAC and the intermediate certificate are PEM-encoded and stored in a file named "*ONU_58D08F123456.nac.cert.pem*".

```
ca_private_key_pem = """
-----BEGIN PRIVATE KEY-----

```


1.3.3 Parsed example X.509 NAC

Parsing of the generated NAC and the intermediate certificate can be performed using the following command:

```
openssl crl2pkcs7 -nocrl -certfile ONU_58D08F123456.nac.cert.pem | openssl pkcs7
-print_certs -noout -text
```

which produces the example output below:

```
Certificate:
Data:
  Version: 3 (0x2)
  Serial Number:
    2d:e0:7a:c8:c6:bc:40:1c:b1:5e:e4:51:06:a4:fd:81
  Signature Algorithm: ecdsa-with-SHA256
  Issuer: O=Example CA, CN=Example CA Certificate
  Validity
    Not Before: Jan 25 00:00:05 2024 GMT
    Not After : Feb 25 00:00:05 2024 GMT
  Subject: C=US, O=ACME Devices, OU=SuperONU 5000, CN=ONU_58D08F123456
  Subject Public Key Info:
    Public Key Algorithm: id-ecPublicKey
    Public-Key: (384 bit)
    pub:
      04:60:03:da:1f:7c:92:8f:d9:2a:aa:0b:fe:a2:63:
      f5:b2:38:55:3d:5f:fc:0a:b9:7c:61:c1:e5:30:cc:
      34:83:e4:65:37:4e:a5:c4:c7:75:b1:ef:65:e2:e1:
      33:c5:e2:87:d0:6f:45:84:11:21:f5:34:c4:2d:84:
      40:35:97:d1:05:c5:a5:11:06:36:8d:80:a9:d2:80:
      4e:2f:bd:95:cf:dc:0d:e5:21:f6:7d:9a:06:5e:aa:
      87:b8:5a:32:04:ab:f8
    ASN1 OID: secp384r1
    NIST CURVE: P-384
  X509v3 extensions:
    X509v3 Basic Constraints: critical
      CA:FALSE
    X509v3 Subject Key Identifier:
      CE:1C:BD:83:D1:B1:46:37:F2:88:F4:A3:76:19:4C:5B:BA:2F:0B:CF
    X509v3 Authority Key Identifier:
      84:FB:9B:0A:C6:CD:E8:7B:92:F1:BC:DA:16:D3:1E:F4:31:F6:2A:48
    X509v3 Subject Alternative Name:
      URI:urn:subscriber-id:8765432-1A
      1.3.111.2.1904.4.1.1:
        .
  Signature Algorithm: ecdsa-with-SHA256
  Signature Value:
    30:45:02:21:00:84:cf:e2:cc:c3:a4:5a:5a:76:00:50:56:64:
    3f:8a:0d:56:23:3a:13:46:9d:fe:10:5c:de:00:b6:f0:1c:f5:
    19:02:20:1a:d4:f9:71:de:56:65:6a:2c:66:84:99:a0:65:08:
    a6:db:73:2f:cb:e1:c9:c7:b6:6c:06:47:ba:84:0b:27:d8
```

```
Certificate:
Data:
  Version: 3 (0x2)
  Serial Number:
    6d:17:fc:8e:cd:cd:36:c3:0d:2c:4c:7e:01:a9:51:ef
  Signature Algorithm: ecdsa-with-SHA256
  Issuer: O=Example CA, CN=Example CA Certificate
  Validity
    Not Before: Jan 7 00:00:05 2022 GMT
    Not After : Jan 7 00:00:05 2032 GMT
  Subject: O=Example CA, CN=Example CA Certificate
  Subject Public Key Info:
    Public Key Algorithm: id-ecPublicKey
    Public-Key: (256 bit)
    pub:
      04:cb:83:86:1d:1f:40:5a:eb:5c:c2:22:fd:2e:eb:
      40:0b:7d:b3:81:1f:a2:62:94:a5:a8:38:19:00:55:
```

```
1a:0a:a0:e3:36:49:ca:82:bd:c1:a3:98:26:50:c0:
58:d5:12:65:f2:3f:4f:bd:2f:f1:67:57:7a:6d:a2:
74:0c:26:12:58
ASN1 OID: prime256v1
NIST CURVE: P-256
X509v3 extensions:
  X509v3 Basic Constraints: critical
    CA:TRUE
  X509v3 Subject Key Identifier:
    84:FB:9B:0A:C6:CD:E8:7B:92:F1:BC:DA:16:D3:1E:F4:31:F6:2A:48
Signature Algorithm: ecdsa-with-SHA256
Signature Value:
  30:45:02:20:60:92:87:b7:3b:5d:7e:f9:37:26:c1:04:14:a6:
  3d:ec:8d:41:85:0e:73:9b:d0:25:4a:ef:21:44:50:15:88:30:
  02:21:00:88:23:2e:12:08:08:63:c7:12:e9:45:87:b5:67:28:
  26:0f:11:ce:0f:48:c2:06:96:c3:14:4d:ce:1e:24:4a:e8
```

Note that OID 1.3.111.2.1904.4.1.1 represents the *SIEPON4CredentialType* defined in 11.3.3.2 and the “.” represents the binary encoding of the type. In this case, the value is 0x02, indicating that this certificate is a NAC.