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13 eOAMPDUs shall be as defined in Table 13-10. These eOAMPDUs use the Organization Specific

Extension mechanisms defined in IEEE Std 802.3, Clause 57. Other values are reserved and ignored on

- 15 reception.
- 16

Table 13-10—eOAMPDUs and assignment of Opcode values

Opcode	eOAMPDUs	Defined in
0x01	eOAM_Get_Request	13.4.6.2
0x02	eOAM_Get_Response	13.4.6.3
0x03	eOAM_Set_Request	13.4.6.4
0x04	eOAM_Set_Response	13.4.6.5
0x09	eOAM_Software	13.4.6.6
0x0A	eOAM_Certificate_Request	13.4.6.7
0x0B	eOAM_Certificate_Response	13.4.6.7

- 17 13.4.6.2 eOAM_Get_Request eOAMPDU
- 18 13.4.6.3 eOAM_Get_Response eOAMPDU
- 19 13.4.6.4 eOAM_Set_Request eOAMPDU
- 20 13.4.6.5 eOAM_Set_Response eOAMPDU
- 21 13.4.6.6 eOAM_Software eOAMPDU

22 13.4.6.7 eOAM_Certificate_Request and eOAM_Certificate_Response eOAMPDUs

The *eOAM_Certificate_Request* and *eOAM_Certificate_Response* eOAMPDUs are specific types of the generic eOAMPDU, as defined in Table 13-2. These eOAMPDUs allow the <u>OLT/NMS to retrieve the</u>

1 <u>Device Authentication Credential (DAC) certificate from the ONU (see 11,2,2,1,3) and to perform remote</u> 2 management (i.e., installation, removal, and/or retrieval) of the Network Authentication Credential (NAC)

3 certificates installed in the ONUs.

4 The term "NAC certificate" used in this sub-clause may represent a single end-entity certificate as defined

5 <u>in 11.2.2.1.4</u> or a certificate chain consisting of an end-entity certificate and one or more intermediate 6 <u>certificates, as defined in 11.2.2.1.5.</u>

7 The *eOAM_Certificate_Request* eOAMPDUs are issued by the OLT to request an ONU to perform a 8 certificate-related management action. The OLT shall not generate any *eOAM_Certificate_Response* 9 eOAMPDUs.

10 The *eOAM_Certificate_Response* eOAMPDUs are issued by the ONU to report the result of the taken 11 action. The ONUs shall not generate *eOAM_Certificate_Request* eOAMPDUs.

A specific certificate-related management action requested by the *eOAM_Certificate_Request* eOAMPDU is identified by the ActionCode field that follows the Opcode field. The *eOAM_Certificate_Response* eOAMPDU shall carry the same value of ActionCode field as was received in the corresponding *eOAM_Certificate_Request* eOAMPDU. Table 13-21 illustrates the available actions and identifies the related *eOAM_Certificate_Request* and *eOAM_Certificate_Response* eOAMPDU sub-types.

17 18

Table 13-21—Certificate management actions and related eOAM message sub-types

Action	ActionCode value	Requests (Opcode = 0x0A)	Responses (Opcode = 0x0B)
Install NAC	0x00	eOAM_Install_NAC_Request (see 13.4.6.7.1)	eOAM_Install_NAC_Response (see 13.4.6.7.2)
Retrieve DAC	0x01	eOAM_Retrieve_DAC_Request (see 13.4.6.7.3)	eOAM_Retrieve_DAC_Response (see 13.4.6.7.4)
Retrieve NAC	0x02	eOAM_Retrieve_NAC_Request (see 13.4.6.7.3)	eOAM_Retrieve_NAC_Response (see 13.4.6.7.4)

19 13.4.6.7.1 eOAM_Install_NAC_Request eOAMPDUNAC certificate installation

20 13.4.6.7.1.1 eOAM_Install_NAC_Request eOAMPDU

The *eOAM_Certificate_Request* eOAMPDU with the ActionCode field value of 0x00 (Install NAC) is referred to as *eOAM_Install_NAC_Request* eOAMPDU. The *eOAM_Install_NAC_Request* eOAMPDU is used by the NMS to remotely install <u>a-the</u> NAC certificate into an ONU (see 11.2.2.1.4). If the size of the <u>NAC certificate</u> exceeds the payload capacity of the *eOAM_Install_NAC_Request* eOAMPDU, multiple such OAMPDUs may be used to complete the installation procedure.

26 The structure of the *eOAM_Install_NAC_Request* eOAMPDU shall be as presented in Table 13-22.

27

Table 13-22—Structure of the eOAM_Install_NAC_Request eOAMPDU

Size (octets)	Field name	Value and notes
21	eOAMPDU header	See Table 13-2

Size (octets)	Field name	Value and notes
1	Opcode	0x0A (see Table 13-10)
1	ActionCode	0x00 (see Table 13-21)
<u>4</u>	<u>Sequence</u>	Bit 31: FirstPdu indicator. When set to 1, identifies the first eOAMPDU in a sequence of one or more OAMPDUs that carry the NAC certificate. Bit 30: LastPdu indicator. When set to 1, identifies the last eOAMPDU in a sequence of one or more OAMPDUs that carry the NAC certificate. Bits 29-0: OctetCount sub-field. If FirstPdu == 1, then the OctetCount represents the total NAC certificate size in octets. If FirstPdu == 0, then the OctetCount represents the 0-based index of the first octet of the DataBlock field (i.e., the offset position of the DataBlock from the beginning of the certificate).
2	CertificateLengthBlockLength	The length of the Certificate DataBlock field. The value of 0x00 indicates that this is a request to remove the existing NAC certificate
≤ <u>14891485</u>	CertificateDataBlock	NAC certificate data (records) as defined in 11.2.2.1.4. This field is not present if the $\frac{CertificateBlock}{Length}$ is $0x00-00$.
≤ 35 <u>31</u>	Pad	This field is optional; it is included only when needed to satisfy the minimum OAMPDU size requirements. When included, the Pad value is 0x0000.
4	FCS	See 13.4.2

If the ONU that received the *cOAM_Install_NAC_Request* coAMPDU already has a NAC installed, the
 existing NAC shall be replaced (overwritten) with the new NAC.

3 The action of overwriting of the existing NAC certificate takes place even in the situation when no new

4 NAC certificate is supplied by the *cOAM_Install_NAC_Request* cOAMPDU (i.e., when the value of the

5 CertificateLength field is set to 0x00). Essentially, such zero length *eOAM_Install_NAC_Request*

6 eOAMPDU serves as a request to remove the existing NAC certificate.

7 13.4.6.7.1.113.4.6.7.1.2 eOAM_Install_NAC_Response eOAMPDU

8 The eOAM_Certificate_Response eOAMPDU with the ActionCode field value of 0x00 (Install NAC) is

9 referred to as *eOAM_Install_NAC_Response* eOAMPDU. The *eOAM_Install_NAC_Response* eOAMPDU

10 is issued by an ONU to acknowledge the processing of each eOAM Install NAC Request eOAMPDU.

11 The last eOAM_Install_NAC_Response eOAMPDU in the certificate installation sequence also conveys the

12 results of NAC installation or NAC removal actions and the status of the stored certificate(s).

13 The structure of the *eOAM_Install_NAC_Response* eOAMPDU shall be as presented in Table 13-23.

Size (octets)	Field name	Value and notes
21	eOAMPDU header	See Table 13-2
1	Opcode	0x0B (see Table 13-10)
1	ActionCode	0x00 (see Table 13-21)
<u>4</u>	<u>Sequence</u>	Bit 31: FirstPdu indicator. This sub-field value is equal to the value of FirstPdu sub-field in the eOAM Install NAC Request that this response acknowledges. Bit 30: LastPdu indicator. This sub-field value is equal to the value of LastPdu sub-field in the eOAM_Install_NAC_Request that this response acknowledges. Bit 30: LastPdu indicator. This sub-field value is equal to the value of LastPdu sub-field in the eOAM_Install_NAC_Request that this response acknowledges. Bits 29-0: OctetCount sub-field confirms the number of installed certificate data octets or signals missing data (see 13.4.6.7.1.3).
1	ActionStatus	Value encoding the status of a taken/attempted action, as defined in Table 13-24
1	CertificateStatus	Value encoding the status of the installed certificate, as defined in Table 13-25. This field is only present if LastPdu == 1, i.e., in the last response in a sequence, after the entire NAC certificate has been installed.
3 <u>51 or 32</u>	Pad	0x0000
4	FCS	See 13.4.2

Table 13-23—Structure of the eOAM_Install_NAC_Response eOAMPDU

2 The ActionStatus field carries the response code, as defined in Table 13-24. Only the values specified

3 in Table 13-24 are allowed. Other values are reserved and cause the eOAM_Install_NAC_Response

4 eOAMPDU to be ignored by the OLT/NMS.

5

Table 13-24—Values carried in ActionStatus field

Code	Name	Description
<u>0x00</u>	Download in progress	ONU acknowledges that it received the Sequence.OctetCount octets of the NAC certificate without gaps and omissions. This code is reported only while LastPdu == 0.
0x0 <u>1</u> 0	Install - success	The received <u>NAC</u> certificate was successfully stored in ONU's non- volatile storage. <u>This code is reported only when LastPdu == 1</u> .
0x0 <u>2</u> 4	Replace - success	The existing <u>NAC</u> certificate stored in ONU's non-volatile repository was successfully replaced by a new certificate. <u>This code is reported</u> <u>only when LastPdu == 1</u> .
0x0 <u>3</u> 2	Remove - success	The existing <u>NAC</u> certificate was successfully removed, i.e., it was overwritten by an empty (zero-length) certificate. <u>This code is</u> reported only when LastPdu == 1.

1

Code	Name	Description
0x0 <u>4</u> 3	Remove - no action	A request to remove certificate is received, however no certificate is stored in ONU's secure non-volatile storage. <u>This code is reported</u> <u>only when LastPdu == 1</u> .
0x04	Incompatible format	Unable to parse the message and extract certificate. An existing certificate, if any, remains in place.
0x05	Insufficient storage	Storage is insufficient to hold the new <u>NAC</u> certificate. An existing certificate, if any, remains in place.
0x06	Operation timeoutBusy, request declined	Cannot perform <u>the</u> requested action due to other activity. <u>The -ONU</u> will not attempt to perform this action again unless it received another <u>request.</u> An existing certificate, if any, remains in place.
<u>0x07</u>	<u>Invalid message</u> <u>format</u>	Unable to parse the <i>eOAM_Install_NAC_Request</i> eOAMPDU and extract the DataBlock field.
0x0 <u>8</u> 7	Illegal operation	ONU cannot recognize the request. No action is taken.
0x0 <u>9</u> 8	Undefined	Unknown error or one not covered above.

1 The CertificateStatus field conveys the status of the installed certificate after performing the

2 requested action (i.e., after installing a new certificate or after removing an existing certificate). Only the 3 values specified in Table 13-25 are allowed. Other values are reserved and cause the

4 *eOAM_Install_NAC_Response* eOAMPDU to be ignored by the OLT/NMS.

5

Table 13-25—Values carried in CertificateStatus field

Code	Name	Description
0x00	No certificate	No <u>NAC</u> certificate is present in ONU's secure non-volatile storage.
0x01	Valid certificate	A valid Network Authentication Credential (NAC) certificate is present in ONU's secure non-volatile storage.
0x02	Expired certificate	An expired Network Authentication Credential (NAC) certificate is present in ONU's secure non-volatile storage.
0x03	Invalid format	A <u>NAC</u> certificate is present in ONU's secure non-volatile storage, but its format does not comply with requirements in 11.2.2.1.4
0x04	Corrupted data	Data stored in ONU's secure non-volatile storage is corrupted.

6 13.4.6.7.1.3 NAC certificate installation protocol

The ONU generates an *eOAM Install NAC Response* eOAMPDU for every *eOAM Install NAC Request* it received.

9 When the ONU receives the initial *eOAM_Install_NAC_Request* (i.e., with Sequence.FirstPdu == 1),

10 it should verify that it has sufficient secure non-volatile storage to store the number of octets equal to

11 Sequence.OctetCount. In case of insufficient storage, the ONU's response shall include the

12 ActionStatus value of 0x05 "Insufficient storage" (see Table 13-24).

1 2 3	If the ONU successfully parsed and stored i th DataBlock, it generates <i>eOAM_Install_NAC_Response #i</i> with the value of Sequence[i].OctetCount sub-field equal to the Sequence[i].OctetCount + BlockLength[i] as was received in the <i>eOAM_Install_NAC_Request #i</i> being acknowledged.
4 5	When the OLT receives ONU's response #i with Sequence[i].OctetCount = N, it generates the next request #i+1 with Sequence[i+1].OctetCount = N.
6 7	The OLT shall transmit the blocks containing parts of the certificate in order. The ONU verifies that all certificate octets are received in order and without gaps using the following criteria:
8 9	a) For the first received <i>eOAM_Install_NAC_Request</i> eOAMPDU, verify that Sequence. <u>FirstPdu == 1</u> .
10 11	b) For every subsequent eOAM_Install_NAC_Request message #i (i>0), verify the unbroken chain Sequence[i-1].OctetCount+BlockLength[i-1]==Sequence[i].OctetCount.
12 13 14 15	If ONU missed one or more <i>eOAM_Install_NAC_Request</i> eOAMPDUs that included the initial request (i.e., the first request that ONU received had Sequence.FirstPdu == 0), it sends a response with Sequence.FirstPdu == 1 and Sequence.OctetCount == 0x3F-FF-FF). This response tells the OLT to restart the sequence from the beginning.
16 17 18 19	If ONU successfully received one or more <i>eOAM_Install_NAC_Request</i> eOAMPDUs that included the initial request, but a subsequent request indicates a gap in the received certificate octets, the ONU response includes the Sequence.OctetCount_value that is equal to the Sequence[i-1].OctetCount_+ BlockLength[i-1] from the last request message for which the condition a) or b) above held true.
20 21 22 23	The ONU may receive a duplicate <i>eOAM_Install_NAC_Request</i> eOAMPDU, for example in a situation when the <i>eOAM_Install_NAC_Response</i> eOAMPDU was lost and the OLT retransmitted its last request. The ONU shall process the duplicate request as if it is not a duplicate (i.e., ONU is to parse and store the new block, overwriting the previously stored block at the same offset).
24 25	The OLT delays the issuance of <i>eOAM_Install_NAC_Request</i> eOAMPDU until the next DataBlock is available.
26 27	The ONU generally issues an <i>eOAM Install NAC Response</i> eOAMPDU as soon as the OLT's request is completed, but it may delay such eOAMPDUs to prevent overflow of its receive buffer.
28 29 30 31 32	The OLT shall maintain a 15-second timer for receiving a response from the ONU. The timer is started every time the OLT issues an <i>eOAM Install NAC Request</i> eOAMPDU and it is stopped every time the OLT receives an <i>eOAM_Install_NAC Response</i> eOAMPDU. Expiration of this timer may indicate a lost request or a lost response message, or it may be caused by the ONU taking a longer time to complete an operation, such as flash memory erasure or certificate validation.
33	Upon timer expiration, the OLT may retransmit the last eOAM_Install_NAC_Request eOAMPDU.
34 35 36	If the ONU has received an <i>eOAM_Install_NAC_Request</i> eOAMPDU while it is still processing the previous request, it shall respond with ActionStatus value of 0x06 "Busy, request declined" (see Table 13-24).
37 38 39 40 41	In the last request and the last response in a sequence, Sequence.LastPdu is equal to 1. The ONU shall commit the downloaded NAC certificate (i.e., the entire chain of certificates at once) to the secure non-volatile memory (i.e., the trust store) only after it received the last DataBlock in the sequence. The last response in a sequence contains the CertificateStatus field that conveys the status of the NAC certificate in the trust store.

- 1 The OLT may initiate a new certificate installation sequence before the current sequence has been
- <u>completed. If ONU receives an eOAM_Install_NAC_Request eOAMPDU with Sequence.FirstPdu</u>
 = 1, it shall discard any partially-downloaded NAC certificate it may have. Such interruption of the
- 4 certificate installation sequence shall not affect the NAC certificate that has been already committed to the
- 5 trust store.

6 13.4.6.7.2 NAC certificate removal

The ONU that successfully received a complete new NAC certificate replaces the existing NAC certificate
 in the trust store with the new NAC certificate.

9 The action of overwriting of the existing NAC certificate takes place even in the situation when no new

10 NAC certificate is supplied by the *eOAM Install NAC Request* eOAMPDU (i.e., when the value of the

11 Sequence field is 0xC0-00-00 and the value of the BlockLength field is 0x00-00). Essentially,

- 12 such eOAM_Install_NAC_Request eOAMPDU with the zero-length certificate serves as a request to
- 13 remove the existing NAC certificate.
- 14 13.4.6.7.3 NAC or DAC certificate retrieval

1513.4.6.7.1.213.4.6.7.3.1eOAM_Retrieve_DAC_Request and eOAM_Retrieve_NAC_Request16eOAMPDUs

- 17 The eOAM_Certificate_Request eOAMPDU with the ActionCode field value of 0x01 (Retrieve DAC) is
- 18 referred to as *eOAM_Retrieve_DAC_Request* eOAMPDU. The *eOAM_Retrieve_DAC_Request* eOAMPDU
- 19 is used to retrieve the DAC certificate from an ONU (see 11.2.2.1.3).

20 The eOAM_Certificate_Request eOAMPDU with the ActionCode field value of 0x02 (Retrieve NAC) is

21 referred to as *eOAM_Retrieve_NAC_Request* eOAMPDU. The *eOAM_Retrieve_NAC_Request* eOAMPDU

22 retrieves a previously-installed NAC certificate from the ONU (see 11.2.2.1.4).

The structure of the *eOAM_Retrieve_DAC_Request* and *eOAM_Retrieve_NAC_Request* eOAMPDUs shall be as presented in Table 13-26.

25 26

Table 13-26—Structure of eOAM_Retrieve_DAC_Request and eOAM_Retrieve_NAC_Request eOAMPDUs

Size (octets)	Field name	Value and notes
21	eOAMPDU header	See Table 13-2
1	Opcode	0x0A (see Table 13-10)
1	ActionCode	Identifies the message type as follows: 0x01: a request to retrieve the DAC <u>certificate</u> 0x02: a request to retrieve the NAC certificate

Size (octets)	Field name	Value and notes
<u>4</u>	<u>Sequence</u>	Bit 31:FirstPdu indicator. When set to 1, identifies a request for the ONU to send the first block of DAC or NAC certificate.Bit 30:LastPdu indicator. When set to 1, this flag indicates to the ONU that the OLT has aborted the
37<u>33</u>	Pad	0x0000
4	FCS	See 13.4.2

1 13.4.6.7.1.313.4.6.7.3.2 eOAM_Retrieve_DAC_Response and 2 eOAM_Retrieve_NAC_Response eOAMPDUs

The *eOAM_Certificate_Response* eOAMPDU with the ActionCode field value of 0x01 (Retrieve DAC) is referred to as *eOAM_Retrieve_DAC_Response* eOAMPDU. The *eOAM_Retrieve_DAC_Response* eOAMPDU is used by the ONU to convey the contents of the DAC certificate to the OLT/NMS (see 11.2.2.1.3).

7 The *eOAM_Certificate_Response* eOAMPDU with the ActionCode field value of 0x02 (Retrieve NAC) 8 is referred to as *eOAM_Retrieve_NAC_Response* eOAMPDU. The *eOAM_Retrieve_NAC_Response* 9 eOAMPDU is used by the ONU to convey the contents of the NAC certificate, if one is present (see 10 11.2.2.1.4).

11 The structure of the *eOAM_Retrieve_DAC_Response* and *eOAM_Retrieve_NAC_Response* eOAMPDUs 12 shall be as presented in Table 13-27.

- 13 14
- Table 13-27—Structure of eOAM_Retrieve_DAC_Response and eOAM_Retrieve_NAC_Response eOAMPDUs

Size (octets)	Field name	Value and notes
21	eOAMPDU header	See Table 13-2
1	Opcode	0x0B (see Table 13-10)
1	ActionCode	Identifies the message type as follows: 0x01: a response carrying the DAC certificate data 0x02: a response carrying the NAC certificate data

Size (octets)	Field name	Value and notes
<u>4</u>	<u>Sequence</u>	Bit 31:FirstPdu indicator. When set to 1, this flagindicates that this eOAMPDU carries the first blockof the NAC or DAC certificate.
		Bit 30:LastPdu indicator. When set to 1, this flagindicates that this eOAMPDU carries the last blockof the NAC or DAC certificate.
		Bits 29-0: OctetCount sub-field. If FirstPdu == 1, then the OctetCount represents the total size of the DAC or NAC certificate in octets. If FirstPdu == 0, then the OctetCount represents the 0-based index of the first octet of the DataBlock field (i.e., the offset position of the DetaBlock field the present of the sertificate)
		The length of the Certificate DataBlock field.
2	CertificateBlockLength	The value of 0x00 indicates that the requested certificate (NAC or DAC) is not present or cannot be retrieved.
< 148 <u>5</u> 9	CertificateDataBlock	<u>A block of DAC or NAC certificate data (records) as defined in</u> or 11.2.2.1.4 respectively. This field is not present if the <u>CertificateBlockLength is 0x00-00</u> .
< 3 <u>1</u> 5	Pad	This field is optional; it is included only when needed to satisfy the minimum OAMPDU size requirement. When included, the Pad value is 0x0000.
4	FCS	See 13.4.2

1

2 13.4.6.7.3.3 NAC/DAC certificate retrieval protocol

3 The OLT requests one certificate block at a time. The ONU generates an *eOAM Retrieve DAC Response*

4 eOAMPDU for every eOAM_Retrieve_DAC_Request, and it generates an eOAM_Retrieve_NAC_Response
 5 eOAMPDU for every eOAM_Retrieve_NAC_Request it received.

In the initial retrieve request, the value of the Sequence field is equal to 0x80-00-00 (i.e.,
 Sequence.FirstPdu == 1 and Sequence.OctetCount == 0x00-00-00-00).

8 When the ONU receives the OLT's initial retrieve request, it generates the initial retrieve response with 9 Sequence[0].OctetCount = CertificateSize. The initial ONU response with 10 Sequence[0].FirstPdu == 1 and Sequence[0].OctetCount == 0 indicates to the 11 OLT/NMS that the requested certificate (NAC or DAC) is not present or connect be retrieved

11 OLT/NMS that the requested certificate (NAC or DAC) is not present or cannot be retrieved.

12 When the OLT receives ONU's response #i with Sequence[i].LastPdu == 0 and after it

13 successfully parsed and stored the DataBlock[i] field of length BlockLength[i], it generates the

- 14 next request #i+1 with Sequence[i+1].OctetCount = Sequence[i].OctetCount +
 15 BlockLength[i].
- 16 When the ONU receives the OLT's subsequent retrieve request #i (i>0) with Sequence[i].
 17 OctetCount == N, it generates the response #i with Sequence[i].OctetCount = N.

- <u>The OLT controls the frequency of the eOAM_Retrieve_DAC_Request and eOAM_Retrieve_NAC_Request</u>
 eOAMPDUs to prevent overflow of its receive buffer.
- 3 If the ONU is unable to retrieve the next certificate block from memory within 1 second OAM timeout
- 4 <u>interval (see 13.2.3)</u>, it generates a response message with BlockLength == 0 and the Sequence.
- 5 OctetCount value the same as in the received request.
- 6 The OLT treats the ONU response with Sequence.OctetCount > 0 and BlockLength == 0 as
- 7 a "keep alive" message. A keep-alive message indicates to the OLT that the ONU is going to transmit the

8 requested block as soon as it can and without another OLT request. There could be several keep-alive

- 9 messages before the next block becomes available at the ONU.
- 10 The OLT may signal to the ONU that it has aborted the certificate retrieval procedure by issuing a retrieve
- 11 request with Sequence[i].LastPdu == 1. The ONU acknowledges such request by issuing a
- 12 response with Sequence[i].LastPdu == 1 and BlockLength[i] == 0.